

Docket No.: **UNIT-0004 (120746.00004)**

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Porunellor A. Mathew, et. al.

Serial No.: 10/021,741

Filed: December 12, 2001

Art Unit: 1645

Examiner: ****

For: **IMMUNO ACTIVATION OF CS1 RECEPTOR IN NATURAL KILLER CELLS TO INHIBIT TUMOR CELL GROWTH**

Commissioner of Patents
Box: Missing Parts
Washington, D. C. 20231

Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Claim Mail envelope addressed to:
Commissioner of Patents, Box: Missing Parts, Washington, D.C. 20231, on
March 29, 2002.

M. Alford

(Printed or typed name of person signing the certificate)

M. Alford

(Signature of the person signing the certificate)

RESPONSE TO MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application dated January 30, 2002,
enclosed please find the following documents:

1. Fee Transmittal for FY 2001;
2. Declaration and Power of Attorney;
3. Recordation Form Cover Sheet;
4. Assignment;
5. Check in the amount of \$630 for late filing fee and the basic filing fee;


6. Check in the amount of \$40 for the Assignment Recordation Fee; and
7. A copy of the Notice to File Missing Parts of Nonprovisional Application.

The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1752. **A duplicate copy of this sheet is included for that purpose.**

Respectfully Submitted,



T. Ling Chwang
Reg. No. 33,590
Jackson Walker L.L.P.
2435 North Central Expressway, Suite 600
Richardson, Texas 75080
Tel.: (972) 744-2919
Fax: (972) 744-2909



Dated

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>		<p>Complete if Known</p>													
<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;"> <p>PTO APR 11 2002 JCTO OFFICE OF PATENT FEE</p> </div>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/021,741</td> </tr> <tr> <td>Filing Date</td> <td>December 12, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Porunellor A. Mathew, Ph.D.</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Group / Art Unit</td> <td></td> </tr> <tr> <td>Attorney Docket No.</td> <td>120746.00004 (UNT-0004)</td> </tr> </table>		Application Number	10/021,741	Filing Date	December 12, 2001	First Named Inventor	Porunellor A. Mathew, Ph.D.	Examiner Name		Group / Art Unit		Attorney Docket No.	120746.00004 (UNT-0004)
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<p>METHOD OF PAYMENT (check one)</p>					<p>FEE CALCULATION (continued)</p>																																																																																																																																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 50-1752</p> <p>Deposit Account Name: Jackson Walker L.L.P.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>					<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>65.00</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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<p>SUBMITTED BY</p>				<p>Complete (if applicable)</p>	
Name (Print/Type)	T. Ling Chwang	Registration No. Attorney/Agent)	33,590	Telephone	972-744-2919
Signature				Date	March 29, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,741	12/12/2001	Porunellor A. Mathews	120746.00004 (UNT-0004)

25555
JACKSON WALKER LLP
2435 NORTH CENTRAL EXPRESSWAY
SUITE 6000
RICHARDSON, TX 75080



CONFIRMATION NO. 1107

FORMALITIES LETTER



OC000000007389356

Date Mailed: 01/30/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. *370*
Applicant must submit \$ ~~740~~ to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$390.
 - \$~~306~~ for 17 total claims over 20. *153*
 - \$~~84~~ for 1 independent claims over 3. *42*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$~~130~~ for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1260.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).


For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

04/12/2002 WABR/HAM 00000013 100211
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 170.00 DP
 133.00 DP
 42.00 DP
 65.00 DP
 02 FC:203
 03 FC:202
 04 FC:205

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE